### Annex 1

#### **GOVERNANCE FRAMEWORK**

#### Principle 1

### Integrity & Ethics

- Values
- Code of Conduct for Members
- Code of Conduct for Employees
- Declarations of Interests
- Gifts & Hospitality Registers
- Confidential Reporting Policy
- Anti-Fraud & Bribery Policy
- Member & Officer Protocol
- Standards
  Committee
- Monitoring Officer
- Monitoring
  Officer Protocol
- Legal advice in Committee reports

#### Principle 2

## Openness & Engagement

Principle 3

### Vision & Outcomes

Principle 4

# Capacity & Capability

Principle 5

#### Risk, Control, Performance & Finance

Principle 6

Assurance & Accountability

- Schedule of
  Council meetings
  - Council agendas, reports and minutes of meetings
  - Freedom of Information Scheme

- Corporate Plan and Priority Promises Action Plans
- Service Plans
- Constitution
- Scheme of Delegations
- Induction Programmes
- Personal Development Reviews
- Training
- Head of Paid Service
- Partnership Working

- Risk Management Policy & Strategy
- Strategic Risk Register
- Project Risk Registers
- Financial Regulations
- Budget & MTFS
- Budget reports
- Performance Reports
- Procurement Regulations
- HR Policies & Procedures
- H&S Policies
- Audit Plan
- Audit Reports
- ICT Policies
- Data Protection Policy
- Treasury Management Policy
- Safeguarding Policy
- S151 Officer

- Code of
  Governance
- Annual Governance Statement (AGS)
- Annual Internal Audit Report
- Annual External Audit Letter
- Statement of Accounts
- Council Tax Leaflet
- Pay Policy Statement
- Performance Reports
- Scrutiny Committees
- Internal Audit